

P19977.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P19977

Total Pages

Inventor(s) or Application Identifier  
Takaaki SATO and Shinji IRIE

Title: NADE BINDING PROTEINS

100/ET/60  
JC685 U.S. PTOJC6862 U.S. PTO  
09/66/305

09/13/00

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form	8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input checked="" type="checkbox"/> Specification [Total Pages 24] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	9. <input type="checkbox"/> 37 CFR 3.73(b) Statement [when there is an assignee] <input type="checkbox"/> Power of Attorney
3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets ____]	10. <input type="checkbox"/> English Translation Document (if applicable)
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) [Note Box 5 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	12. <input type="checkbox"/> Preliminary Amendment
6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy c. <input type="checkbox"/> Statement verifying identity of above copies	14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. ____ / ____ , filed ____ .	15. <input type="checkbox"/> The prior application is assigned of record to ____ .
19. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a ____ continuation-in-part, ____ continuation, ____ division, of Application No. ____ / ____ , filed ____ .	16. <input type="checkbox"/> Foreign priority claimed a. <input type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)
17. <input checked="" type="checkbox"/> Other: <u>Cover Letter under 37 C.F.R. 1.53(b) and (f)</u> _____ _____ _____ _____ _____ _____	

Address all future correspondence to Customer No. 7055 at the present address of:

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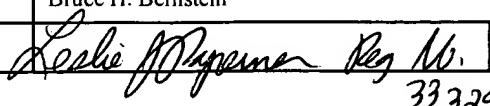
9/13/00  
Date
  
Signature
Bruce H. Bernstein, Reg No. 29,027

Typed or Printed Name

P19977.P02

## FEE TRANSMITTAL

<b>FEE TRANSMITTAL</b>						Complete if Known					
						Application Number			Not Yet Assigned		
						Filing Date			Concurrently Herewith		
						First Named Inventor			T. SATO et al.		
						Group Art Unit			Unknown		
						Examiner Name			Unknown		
TOTAL AMOUNT OF PAYMENT		(\$690.00)		Attorney Docket Number		P19977					
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)							
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>19-0089</u>				3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$ ) Code (\$ ) Fee Description Fee Paid							
Deposit Account Name <u>GREENBLUM &amp; BERNSTEIN, P.L.C.</u>				105	130	205	65	Surcharge - late filing fee or oath			
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
<input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)				139	130	139	130	Non-English specification			
				147	2,520	147	2,520	For filing a request for reexamination			
				112	900*	112	900*	Requesting publication of SIR			
				113	1,840*	113	1,840*	Prior to Examiner action			
				115	110	215	55	Requesting publication of SIR after Examiner action			
				116	380	216	190	Extension for response within 1st month			
				117	870	217	435	Extension for response within 2nd month			
				118	1,360	218	680	Extension for response within 3rd month			
				128	1,850	228	925	Extension for response within 4th month			
				119	300	219	150	Extension for response within 5th month			
				120	300	220	150	Notice of Appeal			
				121	260	221	130	Filing a brief in support of an appeal			
				138	1,510	138	1,510	Request for oral hearing			
				140	110	240	55	Petition to institute a public use proceeding			
				141	1,210	241	605	Petition to revive unavoidably abandoned application			
				142	1,210	242	605	Petition to revive unintentionally abandoned application			
				143	430	243	215	Utility issue fee (or reissue)			
				144	580	244	290	Design issue fee			
				122	130	122	130	Plant issue fee			
				123	50	123	50	Petitions to the Commissioner			
				126	240	126	240	Petitions related to provisional applications			
				581	40	581	40	Submission of IDS			
				146	760	246	380	Recording each patent assignment per property (times number of properties)			
				149	760	249	380	Filing a submission after final rejection (37 CFR 1.129(a))			
				146	760	246	380	For each additional invention to be examined (37 CFR 1.129(b))			
				Other fee (specify) _____							
				Other fee (specify) _____							
				SUBTOTAL (3) (\$ <u>0.00</u> )							
				*Reduced by Basic Filing Fee paid							
1. FILING FEE											
Large Entity Small Entity Fee Fee Fee Fee Code (\$ ) Code (\$ )						Fee Description					
101 690 201 345 Utility filing fee 106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee 108 690 208 345 Reissue filing fee 114 150 214 75 Provisional filing fee						<u>690</u> <u>      </u> <u>      </u> <u>      </u> <u>      </u>					
						SUBTOTAL (1) (\$ <u>690</u> )					
2. CLAIMS											
Total Claims <u>6</u> -20= <u>0</u> x <u>18</u> = <u>0</u> Independent <u>2</u> -3= <u>0</u> x <u>78</u> = <u>0</u> Claims Multiple Dependent Claims _____ x <u>260</u> = <u>0</u>						Extra Fee from below Fee Paid					
						146 760 246 380					
						149 760 249 380					
						Other fee (specify) _____					
						Other fee (specify) _____					
						SUBTOTAL (3) (\$ <u>0.00</u> )					
3. ADDITIONAL FEES											
Large Entity Small Entity Fee Fee Fee Fee Code (\$ ) Code (\$ )						Fee Description					
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim 109 78 209 39 Reissue independent claims over original patent 110 18 210 9 Reissue claims in excess of 20 and over original patent						<u>      </u> <u>      </u> <u>      </u> <u>      </u> <u>      </u>					
						SUBTOTAL (2) (\$ <u>0.00</u> )					

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	29,027
Signature		 <u>Leslie M. Bernstein</u>		Date	9/13/00
				Deposit Account User ID	

P19977.P03

JC862 U.S. Pro  
09/661305  
09/13/00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : T. SATO et al.

Serial No : Not Yet Assigned

Filed : Concurrently Herewith

For :NADE BINDING PROTEINS

COVER LETTER ACCOMPANYING U.S. PATENT APPLICATION  
FILED UNDER 37 C.F.R. 1.53(b)and 1.53(f)

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Enclosed is a new patent application for filing in the U.S. Patent and Trademark Office under 37 C.F.R. 1.53(b)and 1.53(f) in which the Declaration and Power of Attorney attached thereto are in unexecuted form. An executed Declaration and Power of Attorney will be filed within the time period set forth in the Notice to File Missing Parts of Application, unless such time period has been extended by the filing of a petition accompanied by the extension fee under the provisions of 37 C.F.R. 1.136(a).

Related to this, a correspondence address is provided in the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, Va. 20191

The above-identified application includes:

- 24 pages of specification (including Abstract);
- 6 total claims; with 2 independent;
- an unexecuted Declaration and Power of Attorney.

If there are any questions, please contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
T. SATO et al.

Leslie J. Pepper Reg. No.  
Bruce H. Bernstein 33,329  
Reg. No. 29,027

September 13, 2000  
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